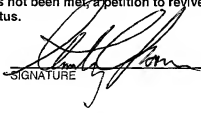


FORM PTO-1380 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>124-918</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/031485</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02736</b>	INTERNATIONAL FILING DATE <b>17/07/2000</b>	PRIORITY DATE CLAIMED <b>19/07/1999</b>
TITLE OF INVENTION <b>COMPOUND LENS ARRANGEMENT FOR USE IN LENS ARRAYS</b>		
APPLICANT(S) FOR DO/EO/US <b>CHEN, Cheng-Husan</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>6. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>7. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>8. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>9. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>10. <input type="checkbox"/> is attached hereto.</p> <p>11. <input type="checkbox"/> - has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>12. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>13. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>14. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</p> <p>15. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>16. <input type="checkbox"/> have not been made and will not be made.</p> <p>17. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>18. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>19. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 To 20 below concern document(s) or information included:</p> <p>21. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>22. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>23. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>24. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>25. <input type="checkbox"/> A substitute specification.</p> <p>26. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>27. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</p> <p>28. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>29. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>30. <input checked="" type="checkbox"/> Other items or information. PTO Form 1449, Int'l Srch Report, Srch Report under Section 17(5) and 18 Cited References</p>		

U.S. APPLICATION NO. (if known) <b>10/031485</b> unpublished		INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02736</b>		ATTORNEY'S DOCKET NUMBER <b>124-918</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1040.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$740.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$	890.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	14	-20 =	0	X	\$18.00
Independent Claims	2	-3 =	0	X	\$84.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$280.00
<b>CLAIM FEES ARE NOT BEING PAID AT THIS TIME</b>				<b>TOTAL OF ABOVE CALCULATIONS =</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					0.00
<b>SUBTOTAL =</b>				\$	1020.00
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
<b>TOTAL NATIONAL FEE =</b>				\$	1020.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property					0.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00)					0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	1020.00
				Amount to be refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1020.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1140</u> . A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.					
<b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b>  NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000					
 _____ SIGNATURE				<b>Stanley C. Spooner</b> NAME	
				<b>27,393</b> REGISTRATION NUMBER	
				<b>January 22, 2002</b> Date	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

CHEN, Cheng-Husan

Atty. Ref.: 124-918

Serial No. unknown

Group:

Filed: January 22, 2002

Examiner:

For: COMPOUND LENS ARRANGEMENT FOR USE IN LENS ARRAYS

\* \* \* \* \*

January 22, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

In order to place the above-identified application in better condition for examination, please amend the application as follows:

**IN THE SPECIFICATION**

Please substitute the following paragraphs in the specification for corresponding paragraphs previously presented. A copy of the amended specification paragraphs showing current revisions is attached.

Page 1, before the first line, insert as a separate paragraph:

This application is the US national phase of international application PCT/GB00/02736 filed 17 July 2000, which designated the US.

**IN THE CLAIMS**

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.

4. A compound lens according to claim 1 wherein the front lens element is a compound lens.

5. A compound lens according to claim 1 wherein the front lens element is a single lens (32, 62, 72).

6. A compound lens according to any claim 1 wherein the front lens element (32, 62, 72) is the largest diameter lens element in the compound lens.

7. A compound lens according to claim 1 wherein a rear lens surface (32b, 62b, 72b) of the front lens element (32, 62, 72) is concave.

8. A compound lens according to any claim 1 wherein the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) is convex.

9. A projector (2, 4, 6) for use in an array of such projectors comprising a compound lens (12, 14, 16) according to claim 1.

13. A method according to claim 11 wherein the step of defining the diameter of the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) comprises the step of defining the diameter of the front lens element.

14. A method according to claim 11 wherein the step of defining the functionality of the compound lens comprises the step of defining the compound lens as a finite conjugate lens with specified object and image distances.

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CHEN, Cheng-Husan  
Serial No. unknown

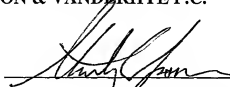
**REMARKS**

Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page(s) is captioned "**Version With Markings To Show Changes Made.**"

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_



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**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE SPECIFICATION**

Page 1, before the first line, insert as a separate paragraph:

This application is the US national phase of international application  
PCT/GB00/02736 filed 17 July 2000, which designated the US.

**IN THE CLAIMS**

4. A compound lens according to ~~any one of the preceding claims 1~~ wherein  
the front lens element is a compound lens.

5. A compound lens according to ~~any one of claims 1 to 3~~ wherein the front  
lens element is a single lens (32, 62, 72).

6. A compound lens according to ~~any one of the preceding claims 1~~ wherein  
the front lens element (32, 62, 72) is the largest diameter lens element in the compound  
lens.

7. A compound lens according to ~~any one of the preceding claims 1~~ wherein a  
rear lens surface (32b, 62b, 72b) of the front lens element (32, 62, 72) is concave.

8. A compound lens according to ~~any one of the preceding claims 1~~ wherein  
the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) is convex.

9. A projector (2, 4, 6) for use in an array of such projectors comprising a compound lens (12, 14, 16) according to ~~any one of the preceding claims~~ 1.

13. A method according to claim 11 ~~or 12~~ wherein the step of defining the diameter of the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) comprises the step of defining the diameter of the front lens element.

14. A method according to ~~any one of claims 11 to 13~~ wherein the step of defining the functionality of the compound lens comprises the step of defining the compound lens as a finite conjugate lens with specified object and image distances.



10/03/1485  
PTO/PCT Rec'd 22 JAN 2002  
12.06.2001

(48)

Compound Lens for use in Lens Arrays

- 5 The present invention relates to a compound lens for use in arrays of lenses and in particular to a compound lens for use in arrays of lenses or for use in projectors arranged in an array in multi-perspective autostereo projection systems.
- 10 In known multi-perspective autostereo projection systems the images from a plurality of projectors which show different perspective views of an object are projected onto a direction selective projection screen, such as a field lens. Such screens have the characteristic of re-forming the array of images of the projector lens exit pupils in a "viewer space" so
- 15 that each viewer of the screen sees a stereo image pair and accordingly sees a three dimensional image. Thus, if a viewer horizontally crosses between adjacent images, the three dimensional image changes discontinuously or "flips". A problem with this type of display is that the images seen by the viewer are separated by dark regions associated
- 20 with gaps between the projection lenses.
- In a paper entitled "Autostereoscopic 3D-imaging by front and rear projection and on flat panel displays" by R Borner which was published in "Displays", Volume 14, Number 1, 1993 by Butterworth-Heinemann
- 25 Ltd, this problem is tackled by overlapping the exit pupils of the projector lenses by using at least two vertically spaced layers of projectors lenses horizontally off-set with respect to each other. In this way the axis of an exit pupil of a projector lens in a first layer will lie in a horizontal plane located between the axes of the exit pupils of two adjacent projector
- 30 lenses in a second layer. The images of the projector lens exit pupils from different layers can be overlapped in the "viewer space" using lenticular screens in order to eradicate dark regions between the images in the "viewer space". A similar approach is used in a paper entitled "An

autostereoscopic real-time 3D display system" by G. Bader, E. Lueder and J. Fuhrmann published in Euro Display '96. The resulting display apparatus using such multi-layer arrangements can be overly complex and the use of lenticular arrays to spread out the exit pupil image can  
5 affect the projected picture quality.

In a paper entitled "Multiperspective autostereoscopic display" by Gordon R. Little, Steven C. Gustafson and Vasiliki E. Nikolaou published in SPIE Volume 2219 Cockpit Displays (1994), the problem of  
10 gaps between adjacent images in the "viewer space" is solved by using a pupil forming screen which comprises a Fresnel lens and a lenticular array to spread out each image in the "viewer space" to remove any gaps between adjacent images. This again has the disadvantage of affecting the projected picture quality by reducing the resolution of the  
15 display.

In WO98/43441 an autostereo projection system is provided which uses multiple projectors to form an essentially seamless and extended field of view. Each projector is registered to a CRT display and shutter  
20 elements in each projector create multiple pupils for each CRT display. Each CRT display is driven with different images for each shutter pupil. Seamlessness is promoted by the use of a tunable optical diffuser as part of a common lenticular viewing lens. In WO98/43441 the adjacent compound lenses of the projectors abut, however, the exit pupils of the  
25 compound lenses do not abut, which results in dark spaces between adjacent images in the viewer space which are reduced by the use of the diffuser.

The present invention aims to overcome at least some of the problems  
30 discussed above by providing a compound lens for use in arrays of lenses which substantially eliminates the problem of dark spaces between images in the "viewer space" without requiring over-complex

display arrangements and without reducing the resolution of the image seen by the viewer.

- 5 According to a first aspect of the present invention there is provided a compound lens for use in an array of such lenses comprising at least two lens elements including a front lens element having a front lens surface which is the largest diameter lens surface in the compound lens, wherein the exit pupil of the compound lens is bounded by and lies in the plane of the edge of said front lens surface. In such a compound
- 10 lens the exit pupil lies at the front of the compound lens at the largest diameter lens surface of the compound lens which means that in an array of such compound lenses, adjacent abutting lenses will have adjacent abutting exit pupils. Thus, there will be no gaps between the exit pupils of adjacent compound lenses and so the present invention
- 15 can be used to eliminate the problem of dark spaces between images in the "viewer space" in autostereo projection systems. The compound lens according to the present invention is of use in any application requiring the use of arrays of lenses with abutting exit pupils.
- 20 The aperture stop may be located within the front lens element of the compound lens. Preferably, the aperture stop of the lens lies in front of the lens element(s) of the compound lens other than the front lens element as this improves the symmetry of the lens arrangement about the aperture stop and helps to reduce coma, distortion and transverse
- 25 colour in the compound lens. The aperture stop of the compound lens may lie in a plane which intersects the optical axis of the compound lens at the rear lens surface of the front lens element.

- The front lens element may itself be a compound lens or alternatively
- 30 could comprise a single lens. It is preferred that the rear lens surface of the front lens element is concave and further that the front lens surface of the front lens element is convex.

In a preferred arrangement the front lens element is the largest diameter lens element in the compound lens.

- 5 The first aspect of the present invention relates to a compound lens with its exit pupil located at its last (or front) surface with the edge of the exit pupil being the intersecting ring of the plane where the exit pupil is located and said last surface. The diameter of the exit pupil defines the largest diameter of the compound lens and the radius of the exit pupil is larger than or equal to all the ray heights traced through the compound
- 10 lens without vignetting. Thus, several such compound lenses can be arranged in an array so that their exit pupils abut so that there will be no dark zone when this compound lens array is used as the projection lens array in a multi-projector autostereoscopic display.
- 15 According to a second aspect of the present invention there is provided a projector for use in an array of such projectors comprising a compound lens according to the first aspect of the present invention. As discussed above such a projector can be used in an abutting array of such projectors in an autostereo projection system in order to eliminate
- 20 gaps between images in the "viewing space" of the system.

According to a third aspect of the present invention there is provided an autostereo projection system comprising an array of projectors according to the second aspect of the present invention.

25

According to a fourth aspect of the present invention there is provided a method of designing a compound lens for use in an array of such lenses comprising the steps of;

- 30 defining the material of a front lens element of the compound lens, the diameter of a front lens surface of the front lens element, the radius of curvature of the front lens surface and a rear lens surface of the front lens element

and defining the location of an exit pupil of the compound lens arrangement to be bounded by and in the plane of the edge of said front lens surface,

5 based on the above defined parameters, tracing the location and magnitude of an aperture stop of the compound lens by tracing the marginal rays through the front lens element using ray tracing means,

10 repeating the above steps until the marginal ray heights through the front lens element are highest at the exit pupil, and then fixing the above defined parameters,

15 defining the diameters of the remaining lens surfaces of the compound lens to be less than that of the front lens surface of the front lens element and defining the functionality of the compound lens,

20 using ray tracing means to design the remainder of the compound lens, in such a way that the remaining lens surfaces do not alter the relationship between the exit pupil and the marginal ray height through the front lens element defined above.

25 Preferably, the parameters defined in the first step of the method are only fixed when the diameter of the aperture stop is less than the diameter of the exit pupil.

30 This method can be used to design a compound lens according to the first aspect of the present invention and which will have the advantages associated with the first aspect of the present invention.

The term marginal ray is used generally to describe those rays which pass through the edge of an aperture stop or an entrance or exit pupil of a lens system. The marginal ray is that ray which is the highest (ie. moves furthest away from the optical axis) amongst the rays traced through a lens system from an object point. In a compound lens according to the present invention the marginal rays will pass through the edge of the exit pupil, because the exit pupil is real.

10 Preferably, the step of defining the diameter of the front lens surface of the front lens element comprises the step of defining the diameter of the front lens element.

15 Preferably, the step of defining the functionality of the compound lens comprises the step of defining the compound lens as a finite conjugate lens with specified object and image distances as such a compound lens would be suitable for use in a projector of an autostereo projection system. Alternatively, the compound lens could be defined as a finite-infinite conjugate lens element.

20 For the purposes of the present invention the aperture stop shall be defined as the image of the exit pupil.

The present invention will now be described by way of example only with reference to the accompanying figures in which:

25

Figure 1a shows schematically a multi-perspective autostereo display system using a direction selective projection screen and an array of projectors each comprising a compound lens according to the present invention.

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Figure 1b shows schematically a multi-perspective autostereo display system using a field lens and an array projectors each comprising a compound lens according to the present invention.

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Figure 2 shows a first embodiment of a compound lens according to the present invention.

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Figure 3 shows a plot of the modulation transfer function of the compound lens of Figure 2.

5                    Figure 4 shows an upper portion of the front lens element of the compound lens of Figure 2.

10                   Figure 5 shows a second embodiment of a compound lens arrangement according to the present invention.

15                   Figure 6 shows a third embodiment of a compound lens arrangement according to the present invention.

20                   Figure 7 shows the method steps involved in designing a compound lens arrangement according to the present invention.

25                   Figures 1a and 1b show multi-perspective autostereo display systems in which three projectors (2,4,6) each project an image taken from a different perspective of an object or scene. Each projector (2,4,6) comprises a respective compound lens arrangement (12, 14, 16) in accordance with the present invention which is designed as described below so that the exit pupil of each lens coincides with the front surface of the lens and so that the front element of the lens has the largest diameter. This means that the exit pupils of the compound lenses (12,14,16) can be located adjacent each other so that they touch, thus eliminating any gaps between the exit pupils of adjacent projector lenses (12,14,16).

30                   A direction selective projection screen (8a) in Figure 1a or a field lens (8b) in Figure 1b re-forms the images of the projector lens exit pupils in the viewer space to form images (22,24,26) and as can be seen from Figures 1a and 1b adjacent ones of these images also touch each other



to eliminate gaps between adjacent images in the "viewer space". A viewer (10) located in a first viewing position sees image (22) from projector (2) in one eye and image (24) from projector (4) showing the same object or scene from a different perspective in the other eye so that the viewer sees a three dimensional image from a first perspective. If the viewer were to move his or her head to the left in Figure 1a or upwards in Figure 1b to a second viewing position so that he or she sees image (24) from projector (4) in one eye and image (26) from projector (6) in the other eye so that he or she would see a three dimensional image of the object or scene from a second perspective. In moving from the first to the second viewing position the three dimensional image viewed would flip between the first and the second perspective, but there would be no dark spaces between the different images viewed by the viewer (10) because there is no gap between adjacent images (22) and (24) or adjacent images (24) and (26).

Accordingly, it can be seen that the compound lens arrangement according to the present invention enables the projectors (2,4,6) to abut so that there is no drop off in illumination between viewing zones (22,24,26). This provides a simplified, single layer projector arrangement without prejudicing the resolution of the images (22,24,26) in the "viewing space".

In order to be able to locate compound lenses in an array so that their edges touch it is not the aperture stop of the lenses which must abut, but the exit pupil of the lenses. The exit pupil is generally defined as an image of the aperture stop as seen from the image side (or in the image space) of the lens. In conventional lens designs the exit pupil is a virtual image formed deep inside the lens, close enough to the aperture stop that there is little difference between the two. However, if the lens elements in the compound lens between the aperture stop and the exit pupil are appropriately chosen it is possible for the edges of the exit

pupil to coincide with the edges of the front surface of the compound lens. If this front surface of the compound lens is the largest diameter part of the compound lens, even though the position of the aperture stop remains within the body of the lens, the exit pupil of adjacent lenses can  
5 be made to abut. It should be noted that with this compound lens arrangement there are lens elements to both sides of the aperture stop and so lens elements to one side of the aperture stop are able to compensate for aberrations to the other side of the aperture stop within the compound lens. It then remains to ensure that the lenses behind  
10 the exit pupil all have diameters smaller than the exit pupil in order physically to allow the exit pupils of adjacent lens arrangements to abut.

Figure 2 shows a first embodiment of a compound lens (30) designed in accordance with the present invention. The lens is designed using a  
15 lens design software Code V available from Optical Research Associates, 3-80 East Foothill Boulevard, Pasadena, California 91107, USA which uses ray tracing algorithms.

The Figure 2 compound lens (30) comprises four lens elements  
20 (32,34,36,38) and has an effective focal length of 25mm, an F-number of 2.5 and a field angle of 20 degrees. The aperture stop, is located in the plane (40) shown in dotted lines (ie. in the plane perpendicular to the optical axis (42) of the lens (30) at the point where the principle ray (44) crosses the axis (42)). It can be seen that the lens (30) is not symmetric  
25 about the aperture stop and so the lens (30) has a high order coma sufficient to get an aberration of 0.05mm at a field angle of 10 degrees. Despite this the lens (30) is approximately achromatic and its modulation transfer function at 30 cycles/mm is at least 0.5 for any field point (See Figure 3).

30

In Figure 2 there are four lens elements (32,34,36,38) comprising eight lens surfaces (a to h). The lens element (32) is the front lens element

and faces towards the screen (8a) or field lens (8b) in the arrangements of Figures 1a and 1b respectively. The front lens element (32) is made of F5 SCHOTT glass and has a front surface (a) with a radius of curvature of 10.00mm and a rear surface (b) with a radius of curvature 5 36.89mm and a thickness along its optical axis (42) of 2.00mm. Abutting the rear surface (b) of the front lens element (32) is a second lens element (34). The second lens element (34) is made of PSK53A SCHOTT glass and has a front surface (c) with a radius of curvature 10.14mm and a rear surface (d) with a radius of curvature -22.06mm 10 and a thickness along its optical axis (42) of 5.10mm. It should be noted that the principle ray (44) crosses the optical axis (42) of the compound lens (30) at the interface between the rear surface (b) of the front lens element (32) and the front surface (c) of the second lens element (34) and so the aperture stop of the compound lens (30) lies in the plane 15 (40) perpendicular to the optical axis (42) and which intersects the optical axis (42) at said interface. A third lens element (36) is located behind the rear surface (d) of the second lens element (34) at a distance along the optical axis (42) of the lens elements of 0.10mm. The third lens element is made of SF4 SCHOTT glass and has a front 20 surface (e) with a radius of curvature -18.36mm and a rear surface (f) with a radius of curvature of 5.79mm and a thickness along its optical axis (42) of 0.55mm. A rear lens element (38) made of SF4 SCOTT glass is located behind the rear surface (f) of the third lens element (36) at a distance along the optical axis (42) of the lens elements of 6.05mm. 25 The rear lens element (38) has a front surface (g) with a radius of curvature of 14.63mm and a rear surface (h) with a radius of curvature of 62.17mm and a thickness along its optical axis (42) of 6.00mm.

The exit pupil of the compound lens (30) is located in the plane (46) 30 shown in dotted lines in Figure 2 and is bounded by the circumference of the front surface (a) of the front lens element (32). This can be seen more clearly in Figure 4 which shows an upper portion of the front lens

element (32) with the aperture stop, which is a virtual image of the exit pupil lying in the plane (40). In the absence of the front lens element (32) the marginal rays from all three field points (45,48,50) would intersect at point (52) as shown by the ray construction in dotted lines.

- 5 Point (52) defines the upper edge of the of the aperture stop of the compound lens (30) of Figure 2. The addition of the front lens element (32) refracts the marginal rays at its rear surface (b) so that they intersect at point (54) which is the upper edge of the exit pupil of the compound lens (46). The exit pupil is the image of the aperture stop at
- 10 the image side of the aperture stop, and so lies in a plane (46) perpendicular to the optical axis (42) and is bounded by intersection points (54). The front lens element (32) is designed so that the intersection points (54) lie at the circumference of the front surface (a) of the front lens element (32). The compound lens (30) is designed so that
- 15 the circumference of the front surface (a) of the front lens element (32) is greater than any other circumference of a lens element used in the compound lens. In this way adjacent compound lenses (30) can be placed together in an array with their exit pupils abutting.
- 20 The aperture stop is a virtual image of the exit pupil. The height of the aperture stop is the distance from the point (52) to the optical axis (42) and the height of the marginal ray at the exit pupil is the distance from the point (54) to the optical axis (42). It can be seen that the marginal ray heights all the way through the front lens element (32) are less than
- 25 the marginal ray height at the exit pupil.

It should be noted that the front lens element (32) could be a compound lens element comprising more than one lens element.

- 30 The compound lens (30) is designed as indicated above using the Code V software package. It is designed in accordance with the following method, the steps of which are shown in Figure 7:

1. Define the material of the front lens (32), which in the Figure 2 example is F5 SCHOTT optical glass (Box 80, Figure 7).

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2. Define the diameter of the front lens element (32) (Box 82).

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3. Define the radius of curvature of the front surface (a) and the rear surface (b) of the front lens element (32) (Box 84).

15

4. Define the location of the exit pupil to be in the plane perpendicular to the optical axis of the compound lens which intersects the edge of the front surface (a) of the front lens (32) and define the exit pupil to be bounded by the circumference of the front surface (a) of the front lens (32) (Box 86).

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5. Use the ray tracing Code V software to find the diameter and location of the aperture stop (40) by tracing the marginal rays (which pass through the edge of the exit pupil) through the front lens element (32) (Box 88).

25

6. Repeat steps 1 to 5 (Boxes 80 to 90 - via 92) until the aperture stop has a smaller diameter than the exit pupil and the marginal ray height as it is traced through the front lens element is lower than at the exit pupil. Then fix the above defined parameters (Box 96 - via 94).

30

7. Define the diameters of the remaining lens elements of the compound lens to be less than the diameter of the front lens element (32) (Box 98).

- 5 8. Define the function of the compound lens, for example in the Figure 2 example, the compound lens (30) is defined as a finite conjugate lens with specified object and image distances (Box 100) and design remainder of compound lens using Code V software (Box 102) without altering the relationship between the exit pupil and the marginal ray height through the front lens element.
- 10

Examples of two further compound lenses in accordance with the present invention and designed in accordance with the above method are shown in Figures 5 and 6.

15

- The compound lens (60) shown in Figure 5 comprises four lens elements (62,64,66,68) comprising eight lens surfaces (a to h). The lens element (62) is the front lens element and faces towards the screen (8a) or field lens (8b) in the arrangements of Figures 1a and 1b respectively. The front lens element (62) is made of SF57 SCHOTT glass and has a front surface (a) with a radius of curvature of 10.00mm and a rear surface (b) with a radius of curvature 13.78mm and a thickness along its optical axis (42) of 1.45mm. It should be noted that the principle ray (44) crosses the optical axis (42) of the compound lens (60) at the rear surface (b) of the front lens element (62) and so the aperture stop of the compound lens (60) lies in the plane (40) perpendicular to the optical axis (42) and which intersects the optical axis (42) at said rear surface (b). A second lens element (64) is located behind the rear surface (b) of the front lens element (62) at a distance along the optical axis (42) of the lens elements of 0.30mm. The second lens element (64) is made of SSK2 SCHOTT glass and has a front
- 20
- 25
- 30

- surface (c) with a radius of curvature 10.16mm and a rear surface (d) with a radius of curvature -30.65mm and a thickness along its optical axis (42) of 3.11mm. A third lens element (66) is located behind the rear surface (d) of the second lens element (64) at a distance along the optical axis (42) of the lens elements of 0.34mm. The third lens element (66) is made of SF59 SCHOTT glass and has a front surface (e) with a radius of curvature -24.66mm and a rear surface (f) with a radius of curvature of 7.21mm and a thickness along its optical axis (42) of 4.07mm. A rear lens element (68) made of SF59 SCHOTT glass is located behind the rear surface (f) of the third lens element (66) at a distance along the optical axis (42) of the lens elements of 1.46mm. The rear lens element (68) has a front surface (g) with a radius of curvature of 16.10mm and a rear surface (h) with a radius of curvature of -51.68mm and a thickness along its optical axis (42) of 1.14mm.
- The exit pupil of the compound lens (60) is located in the plane (46) shown in dotted lines in Figure 5 and is bounded by the circumference of the front surface (a) of the front lens element (62).
- The compound lens (70) shown in Figure 6 comprises four lens elements (72,74,76,78) comprising eight lens surfaces (a to h). The lens element (72) is the front lens element and faces towards the screen (8a) or field lens (8b) in the arrangements of Figures 1a and 1b respectively. The front lens element (72) is made of SF57 SCHOTT glass and has a front surface (a) with a radius of curvature of 10.00mm and a rear surface (b) with a radius of curvature 13.78mm and a thickness along its optical axis (42) of 1.45mm. It should be noted that the principle ray (44) crosses the optical axis (42) of the compound lens (70) at the rear surface (b) of the front lens element (72) and so the aperture stop of the compound lens (70) lies in the plane (40) perpendicular to the optical axis (42) and which intersects the optical axis (42) at said rear surface (b). A second lens element (74) abuts the

rear surface (b) of the front lens element (62). The second lens element (74) is made of SSK2 SCHOTT glass and has a front surface (c) with a radius of curvature 9.56mm and a rear surface (d) with a radius of curvature -37.45mm and a thickness along its optical axis (42) of 1.72mm. A third lens element (76) is located behind the rear surface (d) of the second lens element (74) at a distance along the optical axis (42) of the lens elements of 0.93mm. The third lens element (73) is made of SF58 SCHOTT glass and has a front surface (e) with a radius of curvature -22.30mm and a rear surface (f) with a radius of curvature of 7.44mm and a thickness along its optical axis (42) of 4.23mm. A rear lens element (78) made of SF58 SCHOTT glass is located behind the rear surface (f) of the third lens element (76) at a distance along the optical axis (42) of the lens elements of 0.50mm. The rear lens element (78) has a front surface (g) with a radius of curvature of 22.46mm and a rear surface (h) with a radius of curvature of -28.64mm and a thickness along its optical axis (42) of 4.60mm.

The exit pupil of the compound lens (70) is located in the plane (46) shown in dotted lines in Figure 6 and is bounded by the circumference of the front surface (a) of the front lens element (62).



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## Claims

1. A compound lens (30, 60, 70) for use in an array (12, 14, 16) of such  
5 lenses comprising at least two lens elements including a front lens  
element (32, 62, 72) having a front lens surface (32a, 62a, 72a) which is  
the largest diameter lens surface in the compound lens, characterised in  
that the exit pupil of the compound lens is bounded by and lies in the  
plane (46) of the edge of said front lens surface.
- 10 2. A compound lens according to claim 1 wherein the aperture stop of  
the lens lies in front of the lens elements of the compound lens other  
than the front lens element (32, 62, 72).
- 15 3. A compound lens according to claim 1 wherein the front lens element  
(32, 62, 72) has a rear lens surface (32b, 62b, 72b) and the aperture  
stop of the compound lens lies in a plane (40) which intersects the  
optical axis (42) of the compound lens at the rear lens surface.
- 20 4. A compound lens according to any one of the preceding claims  
wherein the front lens element is a compound lens.
5. A compound lens according to any one of claims 1 to 3 wherein the  
front lens element is a single lens (32, 62, 72).
- 25 6. A compound lens according to any one of the preceding claims  
wherein the front lens element (32, 62, 72) is the largest diameter lens  
element in the compound lens.
- 30 7. A compound lens according to any one of the preceding claims  
wherein a rear lens surface (32b, 62b, 72b) of the front lens element  
(32, 62, 72) is concave.

8. A compound lens according to any one of the preceding claims wherein the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) is convex.
- 5 9. A projector (2, 4, 6) for use in an array of such projectors comprising a compound lens (12, 14, 16) according to any one of the preceding claims.
10. An autostereo projection system comprising an array of projectors (2, 4, 6) according to claim 9.
11. A method of designing a compound lens (30, 60, 70) for use in an array of such lenses comprising the steps of;
- 15 defining the material of a front lens element (32, 62, 72) of the compound lens, the diameter of a front lens surface (32a, 62a, 72a) of the front lens element, the radius of curvature of the front lens surface and a rear lens surface (32b, 62b, 72b) of the front lens element and defining the
- 20 location of an exit pupil of the compound lens to be bounded by and in the plane (46) of the edge of said front lens surface,
- 25 based on the above defined parameters, tracing the location and magnitude of an aperture stop of the compound lens by tracing the marginal ray height through the front lens element using ray tracing means,
- 30 repeating the above steps until the marginal ray height traced through the front lens element is highest at the exit pupil and then fixing the above defined parameters,

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defining the diameters of the remaining lens surfaces (c, d, e, f, g, h) of the compound lens to be less than that of the front lens surface (32a, 62a, 72a) of the front lens element and defining the functionality of the compound lens, and

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using ray tracing means to design the remainder of the compound lens in such a way that the remaining lens surfaces do not alter the relationship between the exit pupil and the marginal ray height through the front lens element as defined above.

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12. A method according to claim 11 wherein the parameters defined in the first step of the method are only fixed when the diameter of the aperture stop is less than the diameter of the exit pupil.

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13. A method according to claim 11 or 12 wherein the step of defining the diameter of the front lens surface (32a, 62a, 72a) of the front lens element (32, 62, 72) comprises the step of defining the diameter of the front lens element.

20

14. A method according to any one of claims 11 to 13 wherein the step of defining the functionality of the compound lens comprises the step of defining the compound lens as a finite conjugate lens with specified object and image distances.

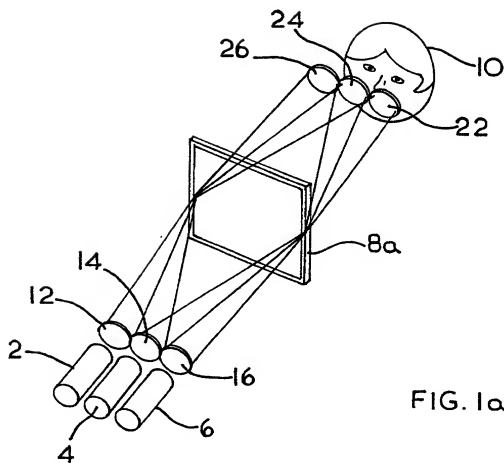


FIG. 1a

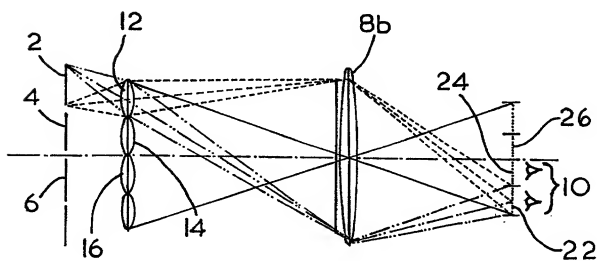


FIG. 1b

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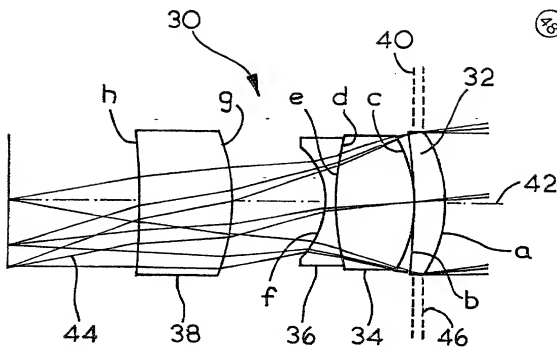


FIG. 2

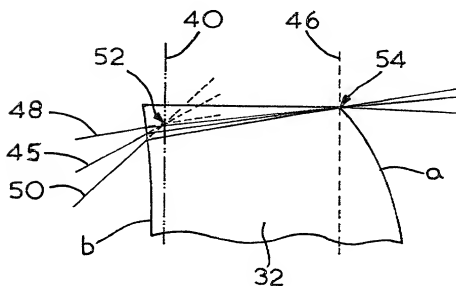


FIG. 4

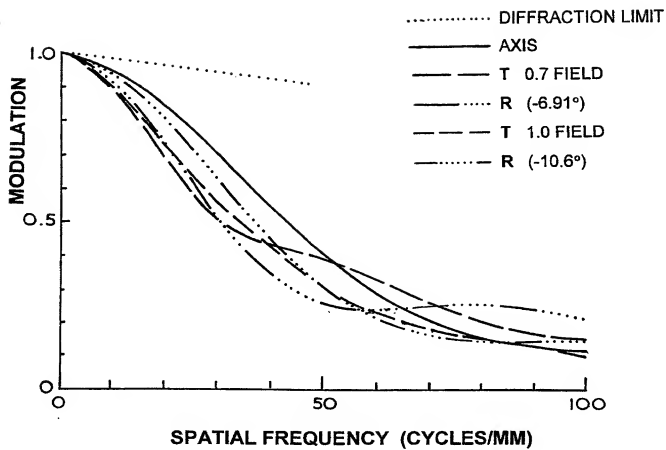


FIG. 3



**FIG. 5**

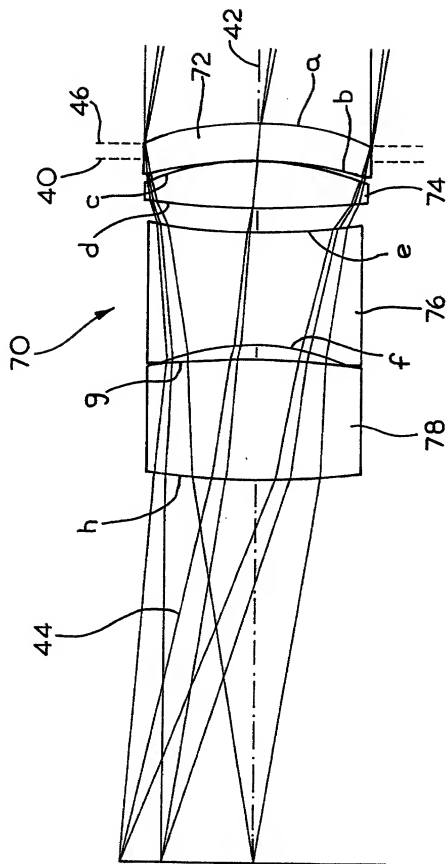


FIG. 6



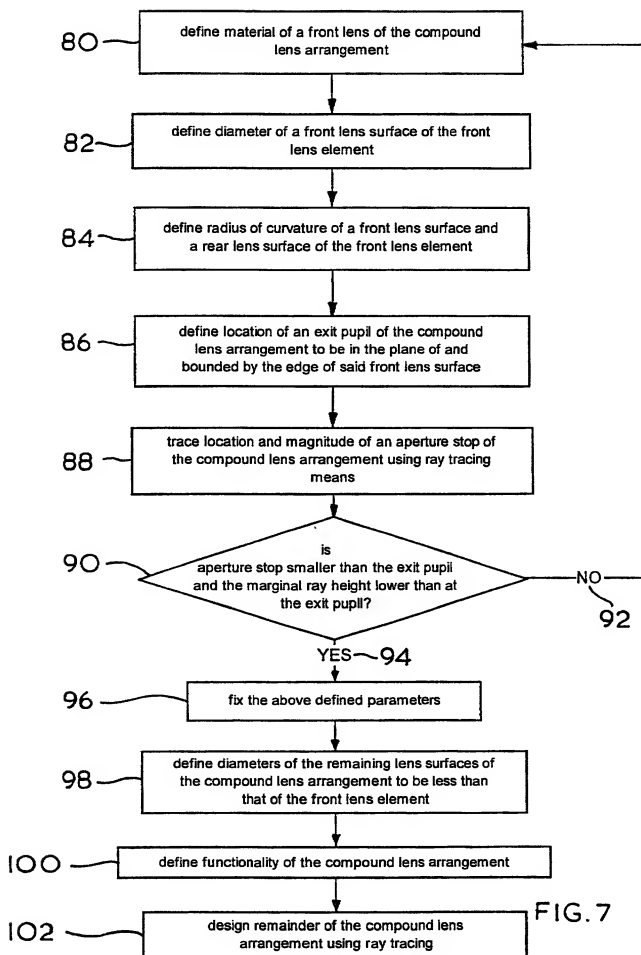


FIG. 7

**RULE 63 (37 C.F.R. 1.63)**  
**DECLARATION AND POWER OF ATTORNEY**  
**FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Compound Lens Arrangement For Use In Lens Arrays

the specification of which (check applicable box(es)):

☐ is attached hereto  
☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_ Atty Dkt. No. P2747/USW  
☒ was filed as PCT International application No. PCT/GB00/02736 on 17-Jul-2000  
 and (if applicable to U.S. or PCT application) was amended on 12/06/2001

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number 9916715.7	Country GB	Day/Month/Year Filed 19-Jul-1999
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
--------------------	-----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/GB00/02736	17-Jul-2000	PENDING

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 29327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Basha, 22770; Mark E. Nusbaum, 32343; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffrey H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burham, Jr., 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; William J. Griffin, 37280; Robert A. Moian, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331.

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FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.